Canadian Food Agence canadienne Inspection Agency d'inspection des aliments

# Monitoring and Compliance – striking the right balance

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## Monitoring and Compliance – striking the right balance

- ties to precision safeguarding
- links to alternative service delivery
- relevant standards



## **Challenges & Positives**

- Increased global trade
  - greater volumes
  - new markets
- Changing climate
- Fewer resources



- Improved Technology
  - Better data collection
  - New treatments
- Recognition of Alternative Service Delivery (ASD) Organizations
- Acceptance of system approaches
- Development of harmonized standards

## Monitoring and Compliance

#### Monitoring

• Systematic process of observing, tracking and recording activities or data over time.

#### Compliance

• Accordance to a rule, such as a specification, policy, standard or law.

#### **Precision safeguarding**

 Provide the greatest level of phytosanitary protection for our plant resources given a finite level of resources by using technology and risk-based decisions.

How can we extend the concept of precision safeguarding to program delivery?



#### Alternative Service Delivery

Means of continuing to provide some services or programs which have traditionally been provided by the NPPO, through partnerships with organizations outside the NPPO.

**Types of ASD Organizations** 

- New Federal organization
- Another level of government
- Private sector



### Alternative Service Delivery may:

- 1. Alleviate funding issues related to budgetary constraints
- 2. Increase efficiency by reducing duplication of service
- 3. Allow NPPOs to focus limited resources on priorities
- 4. Be quicker to respond to new technology
- 5. Harmonization of standards
- Maximize flexibility & rapid response to shifts &/or increase in required services

## Alternative Service Delivery

Authorization of ASD organizations to perform specific phytosanitary actions is becoming increasingly common in various countries throughout the world.

- Monitoring
- Treatment
- Post-entry quarantine
- Destruction
- Supervision

- Sampling
- Inspection
- Testing
- Surveillance
- Auditing



Within a phytosanitary regulatory system: import, domestic or export

### Safeguarding Continuum



### System Approach

- Precision safeguarding can be used to identify most effective measures to mitigate pest risk
- Integrated measures may be applied during pre-harvest, harvest, production, transport and /or post-entry
- May address pest risks that are not fully managed by a single measure
- Provides additional options & flexibility to facilitate trade
- Parts or all of the system may be overseen by ASD organizations

#### **Regional & International Standards**

- Build trust among NPPOs to accept new approach
- Provide guidance
- Transparent, harmonized approach
- Encourage mobilization of resources





Standards for Alternative Service Delivery

#### **RSPM 28**

Authorization of Entities to Perform Phytosanitary Services

#### **ISPM Specification 65**

Authorization of entities to perform phytosanitary actions

These standards provide guidance on use of Alternative Service Delivery to NPPOs:

- Develop criteria for authorization
- Assess compliance
- Grant, manage, suspend or withdraw & reinstate authorization
- Identify roles & responsibilities
- Maintain effective oversight
- Address non-conformity



#### **Standards for System Approaches**

#### **RSPM 41**

Use of Systems Approaches in Managing Pest Risks Associated with the Movement of Forest Products

#### **ISPM 14**

The use of integrated measures in a systems approach for pest risk management







Standards provide guidance on the use of systems approaches

- Developing a systems approach
- Evaluating a systems approach
- Available measures (ex. RSPM 41)
- Responsibilities of NPPOs
- Necessary oversight
- Non-compliance
- Provide flexibility in using ASD organizations

#### System Approaches with ASD Oversight

#### Canadian Green Sawn Wood Certification Program

- Be approved under the "Canadian green sawn wood certification program"
- Have a documented Quality Management System
- Produce sawn wood which complies with multiple sciencebased risk mitigation measures throughout the safeguarding continuum
- Facilities are audited by an ASD organization
- ASD organization is audited by NPPO







## Thank you